

**MAIL STOP PCT**

**AP13 Rec'd PCT/PTO 15 FEB 2007**

Agent's Docket No. 19226-US-NP



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Indice Application of:

**DROLET, Nicolas et al.**

Serial No.: **10/573,883**

PCT Serial No.: **PCT/CA04/01755**

I/A Filing Date: **September 29, 2004**

Art Unit: **1764**

Ex:

For: **2,7 CARBAZOLENEVINYLENE DERIVATIVES AS NOVEL MATERIALS  
IN PRODUCING ORGANIC BASED ELECTRONIC DEVICES**

February 14, 2007

Commissioner of Patent and Trademarks  
Mail Stop PCT  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sir:

Submitted herewith are the following documents:

- Response to Notice to File Missing Parts (Page 3 in duplicate)
- Copy of Notification of Missing Requirements Under 35 USC 371
- Executed Declaration/Power of Attorney

Respectfully  
submitted,

BY:

Richard J. Mitchell  
Reg. No. 34,519  
Agent of Record

MARKS & CLERK  
P.O. Box 957, Station B  
Ottawa, ON, Canada K1P 5S7  
(613) 236-9561

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
19226-US-NP

In Re Application Of: Nicolas DROLET, et al.

Serial No.  
10/573,883

Filing Date  
September 29, 2004

Examiner

Group Art Unit  
1764

Invention: 2,7-CARBAZOLENEVINYLENE DERIVATIVES AS NOVEL MATERIALS IN PRODUCING ORGANIC  
BASED ELECTRONIC DEVICES

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533) mailed on  
December 19, 2006

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in the compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademarks Office.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application  
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

- ☐ Utility application filing fee \_\_\_\_\_
- ☐ Design application filing fee \_\_\_\_\_
- ☐ Total number of independent claims = \_\_\_\_\_
- ☐ Total number of claims = \_\_\_\_\_
- ☐ Multiple dependent claims \_\_\_\_\_
- ☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00
- ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_
- ☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_
- ☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

02/20/2007 GFREY1 00000021 131717 10573883  
01 FC:1617 130.00 DA

Total time extension fees \$0.00

Total fees due \$130.00

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
19226-US-NP

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1764

Invention: 2,7-CARBAZOLENEVINYLENE DERIVATIVES AS NOVEL MATERIALS IN PRODUCING ORGANIC  
BASED ELECTRONIC DEVICES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 Is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorizer to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 13-1717  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 13-1717  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: February 15, 2007

Richard J. Mitchell  
34,519

Marks & Clerk Canada  
P.O. Box 957  
Station B  
Ottawa, ON, K1P 5S7  
Phone: (613) 236-9561



I certify that this document and fee being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/573,883	Nicolas Drolet	19226USNP

INTERNATIONAL APPLICATION NO.	
PCT/CA04/01755	
I.A. FILING DATE	PRIORITY DATE
09/29/2004	10/02/2003

23553  
 MARKS & CLERK  
 P.O. BOX 957  
 STATION B  
 OTTAWA, ON K1P 5S7  
 CANADA

CONFIRMATION NO. 9927

371 FORMALITIES LETTER

\*OC000000021644165\*

Date Mailed: 12/19/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/29/2006
- Copy of the International Search Report filed on 03/29/2006
- Copy of IPE Report filed on 03/29/2006
- Oath or Declaration filed on 03/29/2006
- Request for Immediate Examination filed on 03/29/2006
- U.S. Basic National Fees filed on 03/29/2006
- Assignee Statement for PGPUB filed on 08/17/2006
- Priority Documents filed on 03/29/2006
- Power of Attorney filed on 08/17/2006
- Specification filed on 03/29/2006
- Claims filed on 03/29/2006
- Abstracts filed on 03/29/2006
- Drawings filed on 03/29/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

# **SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web:  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

## **PART 2 - OFFICE COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,883	PCT/CA04/01755	19226USNP